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DEPOSIT INSURANCE
8 CORPORATION as Receiver for
INDYMAC BANK, F.S.B.
9

10 UNITED STATES DISTRICT COURT
11 CENTRAL DISTRICT OF CALIFORNIA, WESTERN DIVISION
12

13 FEDERAL DEPOSIT INSURANCE
14 CORPORATION as Receiver for
INDYMAC BANK, F.S.B.,

15 Plaintiff,

16 vs.

17 UBS REAL ESTATE SECURITIES,
18 INC., a Delaware corporation,

19 Defendant.
20

Case No. CV10-1232-JFW (CWx)

PROTECTIVE ORDER

[DISCOVERY DOCUMENT: Referred
to Magistrate Judge Carla Woehrle]

21 Pursuant to the stipulation between plaintiff FEDERAL DEPOSIT
22 INSURANCE CORPORATION as Receiver for INDYMAC BANK, F.S.B. and
23 defendant UBS REAL ESTATE SECURITIES, INC. (collectively the "Parties"),
24 and being fully advised by the Parties, and good cause appearing, the Court now
25 enters the following Protective Order:

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PROTECTIVE ORDER

The following restrictions shall be observed as to such properly designated Confidential Information as may be produced in discovery by the Parties to this litigation:

I. INTRODUCTION**A. Definitions**

1. "Confidential Document" means any document produced that bears the stamp or legend specified in Section II, Designation of Confidential Information, below, which signifies that the Designating Person contends that the document contains Confidential Information (as Confidential Information is defined in the next paragraph) entitled to confidentiality under established principles of law.

2. "Confidential Information" means a trade secret or other confidential commercial information, sensitive or proprietary business or financial information, personal information, or information furnished to the party producing the information in confidence by a third party. The following categories of documents, while not exclusive, are deemed to contain Confidential Information:

a. "Loan Origination Files" for the loans at issue in this litigation which include the borrower's private information, and other confidential or proprietary business records.

b. "Loan Servicing Files" for the loans at issue in this litigation which will include the borrower's private information.

c. "Foreclosure Files" for the loans at issue in this litigation which will include the borrower's private information.

d. "Repurchase Demand Files" for the loans at issue in this litigation which will include the borrower's private

- 1 information, confidential business records, and other
- 2 proprietary information.
- 3 e. "Personal Records" as defined by California Code
- 4 of Civil Procedure §1985.3.
- 5 f. "Seller File" for UBS REAL ESTATE
- 6 SECURITIES, INC. which may include confidential or
- 7 proprietary business records.
- 8 3. "Document" or "Documents" means all written, recorded,
- 9 electronic or graphic material, whether produced or created by a party or another
- 10 person, and whether produced pursuant to document request, subpoena, by
- 11 agreement, or otherwise, and includes deposition transcripts and exhibits, where
- 12 applicable.
- 13 4. "Designating Person" means the Party or Person who initially
- 14 produces the Document and marks it as "Confidential-Subject to Protective Order"
- 15 pursuant to this Protective Order.
- 16 5. "Party" or "Parties" means any person or entity that is named as a
- 17 party to this litigation.
- 18 6. "Person" means any natural person, or any legal or business
- 19 entity, profit or nonprofit organization, or any government agency.
- 20 7. "Discovery Material" means: (a) all documents produced in this
- 21 action; (b) all deposition testimony taken in this action, exhibits thereto and any
- 22 video or transcripts thereof, whether in written or computer format; and (c)
- 23 responses to interrogatories, responses to requests for admission, and all other
- 24 written discovery served or filed in this action and all contents of such discovery.
- 25 8. "Disclose" means to show, give, make available, reproduce,
- 26 communicate or excerpt any Discovery Material, or any part or contents thereof.
- 27 ///
- 28 ///

B. Good Cause

This action involves a contract dispute between defendant UBS REAL ESTATE SECURITIES, INC. and plaintiff FEDERAL DEPOSIT INSURANCE CORPORATION, as Receiver for INDYMAC BANK, F.S.B. relating to seventy-two (72) mortgage loans. As such, this litigation will involve the review and analysis of Loan Origination Files, Loan Servicing Files, Foreclosure files, Repurchase Demand Files and other Personal Records of individuals who applied for and obtained mortgage loans at issue. Good cause exists to grant the Parties' request for a Protective Order to (1) preserve the privacy interests of third party borrowers; (2) protect the confidential business records and proprietary information of the plaintiff and defendant; and (3) allow the Parties to exchange information in the most expeditious fashion possible, with a minimum burden, expense, dispute, and delay. Pursuant to 15 U.S.C. § 6802(e)(8), the production of non-public personal financial information, protected by the measures set out in this Protective Order, shall not constitute a violation of the Gramm-Leach-Bliley Act, 15 U.S.C. § 6801, et seq. (*Marks v. Global Mortgage Group, Inc.*, 218 F.R.D. 492, 496 (S.D. W.Va. 2003).)

C. Scope of Protective Order

1. This Protective Order applies to all Parties to this action and their counsel as well as retained and non-retained experts and/or consultants.

2. Nothing in this Protective Order shall require disclosure of any information or material that is protected from disclosure by any applicable privilege.

3. Any Party hereto may make a good faith objection to the designation of any document, response, testimony, or information as "Confidential Information" by the Designating Person, and may make a motion for an order compelling disclosure of and/or access to such material without restriction, after attempting to resolve the objection with the Designating Person. Applicable Federal and/or California law shall govern the burden and standard of proof on any such

1 motion. Prior to the submission of any such dispute to the Court, the challenging
 2 Party shall provide written notice to the Designating Person of its disagreement with
 3 the designation, and those Persons shall try first to resolve such a dispute in good
 4 faith. Pending the resolution of any such objection or motion, any Discovery
 5 Material, the confidentiality of which is disputed, shall remain subject to the terms
 6 of this Protective Order. A Party shall not be obligated to challenge the propriety of
 7 information designated as Confidential Information at the time the designation is
 8 made and failure to do so shall not preclude a subsequent challenge thereto.

9 4. This Protective Order shall not abrogate or diminish any
 10 contractual, statutory, or other legal obligation or right of any Party or Person with
 11 respect to information designated as "Confidential Information" pursuant to this
 12 Protective Order. The fact that information is or is not designated as "Confidential
 13 Information" under this Protective Order shall not determine what a trier-of-fact
 14 may find to be confidential or proprietary.

15 5. This Protective Order shall not govern the use by a Party of any
 16 Discovery Material otherwise lawfully in its possession, notwithstanding the fact
 17 that the Party either produces that Discovery Material in the course of discovery in
 18 this action or receives a duplicate copy of that Discovery Material through discovery
 19 in this action.

20 6. The Parties may agree to accord Discovery Material produced in
 21 this action even greater confidentiality protection than that provided in Section II of
 22 this Protective Order, and nothing in the provisions of this Protective Order shall be
 23 deemed to preclude any Person from seeking and obtaining from the Court such
 24 additional protection with respect to the confidentiality of Discovery Material as
 25 may be appropriate or necessary.

26 7. Discovery Material shall not be disclosed to any Person or entity
 27 except as expressly set forth herein. All Discovery Material produced or provided
 28 by any Designating Person in connection with this litigation shall be used by the

Parties only for the purposes of this action, including depositions, motions, pre-trial preparation, trial or appeal. Otherwise, except by order of the Court, such Discovery Material shall not be used by any Party other than the producing Party for any other purpose.

8. Inadvertent production of privileged information shall not constitute a waiver of any applicable privilege. Upon written notice by the producing or designating Party that privileged information was inadvertently produced, all Parties shall destroy or return all copies of the information to the producing or designating Party within ten (10) days of such notice.

II. CONFIDENTIAL INFORMATION

A. Designation of Confidential Information

1. A Designating Person, who reasonably believes in good faith that a Document being produced contains Confidential Information, may designate such Document as containing such information only by: (1) Bates stamping such Document; and (2) stamping such Document with the following legend: "CONFIDENTIAL INFORMATION."

2. Failure of any Designating Person to designate Confidential Information in the manner described in Paragraph 1 hereof shall not preclude any Designating Person from thereafter in good faith making such a designation. Documents and materials so designated shall be fully subject to this Protective Order and treated thereafter according to the new or corrected designation.

B. Qualified Person Re Confidential Information

Any document or other Discovery Material designated as "Confidential Information" may be disclosed only to the following Persons and/or entities:

1. The Court, its officers, jury, and any special master, referee, or mediator authorized to act in this proceeding;

2. Counsel of record for the Parties to this action, and their employees or employee equivalents (e.g., legal assistants, secretaries, clerical staff,

1 copy vendors, etc.) who are regularly employed by such counsel and who are
2 actively engaged in assisting such counsel in this action;

3 3. Court reporters, deposition reporters, and their staff, to the extent
4 necessary to perform their duties;

5 4. Witnesses in the course of a deposition taken in this action;

6 5. The Parties to this action, and those officers, directors, managing
7 agents, or employees of the Parties hereto who are actively engaged in the
8 preparation of this action for trial or for other resolution;

9 6. Any retained or non-retained expert and/or consultant who is
10 engaged in the preparation of this action for trial or for other resolution and who
11 agrees in writing to be bound by the confidentiality requirements of this Order.

12 Prior to the provision of Confidential Information to any Person
13 identified in Paragraph 6 hereof, such Person shall be furnished with a copy of this
14 Protective Order and shall be required to certify in writing as set forth in the
15 annexed ACKNOWLEDGMENT OF PROTECTIVE ORDER AND AGREEMENT
16 TO BE BOUND, Exhibit A, that he or she has read the Protective Order,
17 understands it, and agrees to be bound by the terms thereof, including without
18 limitation, the obligation to protect Confidential Information from discovery by
19 unauthorized co-employees and third Persons.

20 **C. Limited Use of Confidential Information**

21 Documents and Discovery Material designated "Confidential
22 Information" shall be used solely for this lawsuit, and shall not be used for any other
23 purpose, including, but not limited to, any other litigation, arbitration, or claim.

24 **D. Filing Under Seal of Confidential Information**

25 If a Party desires to use a Confidential Document or Confidential
26 Information in support of a motion, opposition to a motion, or other document filed
27 with the Court, the Party shall accompany its papers with an Application to file the
28 Confidential Document under seal pursuant to United States District Court, Central

District of California, Rule 79-5.1. The Application shall be directed to the judge to whom the motion, opposition or other papers are directed. Pending the ruling on the Application, the papers or portions thereof which are subject to the Application shall be lodged under seal.

E. Hearing Re Confidential Information

Counsel for any Party may request that any hearing or testimony with respect to information that has been designated "Confidential Information" by that Party shall be held *in camera* and the transcripts thereof sealed as provided in Section II, above, such that contents thereof shall not be disclosed to anyone other than the counsel, Parties, and experts hereto, pending further order of the Court.

F. Depositions Re Confidential Information

The Parties may use Confidential Documents and Confidential Information during depositions in this action. In the event that any document or other Discovery Material designated as "Confidential Information" is marked as an exhibit in a deposition, or any question is asked at a deposition that calls for or requires the disclosure of Confidential Information, any Party may request within twenty (20) days after receipt of the transcript of the deposition (through written notice to all Parties) that any portion of such deposition transcript relating to Confidential Information be designated pursuant to this Protective Order. If the designation is made after the court reporter has prepared the transcript, each counsel shall be responsible for appropriately labeling all of his or her copies of such deposition transcript.

Any Party also may request at the deposition (through a notation on the record) that the portion of the deposition transcript relating to such Confidential Information be treated as follows:

The reporter shall separately bind the portion(s) of the transcript containing Confidential Information, and any exhibit(s) to the deposition designated

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1 as "Confidential Information," and shall mark such portion(s) and exhibit(s)
 2 substantially as follows:

3 **CONFIDENTIAL INFORMATION**

4 ***FDIC v. UBS REAL ESTATE SECURITIES, INC., et al.***

5 **Case No. CV10-01232-JFW (CWx)**

6 **III. POST-DISCOVERY TREATMENT OF DESIGNATED**
 7 **INFORMATION**

8 1. This Protective Order shall not govern at trial. The Parties to this
 9 action shall attempt to agree on procedures to protect at trial the confidentiality of
 10 information designated pursuant to this Protective Order and shall, prior to trial,
 11 submit such proposed procedures to the Court for its approval or modification.

12 2. Not later than thirty (30) days after the final disposition of this
 13 litigation, including any appeals, all Discovery Material shall, at the option of the
 14 Receiving Party, be returned to counsel for the Producing Party or to the
 15 Designating Persons or destroyed and a certificate of destruction provided. If any
 16 Discovery Material is furnished under this Protective Order to any expert or to any
 17 other Person, the attorney for the Party retaining such expert or furnishing the
 18 Discovery Material shall be responsible to ensure that it is returned and disposed of
 19 pursuant to this Protective Order.

20 3. No part of the terms of this Protective Order may be terminated,
 21 except by the written stipulation executed by counsel of record for each Party, or by
 22 an order of this Court for good cause shown. This Protective Order shall survive
 23 any final disposition of this case, and after termination of this action, the Court shall
 24 retain jurisdiction to enforce or modify this Protective Order.

25 4. If at any time any Confidential Information protected by this
 26 Protective Order is subpoenaed or requested by any court, administrative or
 27 legislative body, person or entity, other than a Party to this action purporting to have
 28 authority to require the production of such information, the Party to whom the

1 subpoena or other request is directed shall immediately give written notice thereof to
2 any Party which has designated such information as Confidential Information so as
3 to advise such Party of the need to promptly obtain a protective order or act to quash
4 the subpoena. The Party to whom the subpoena or other request is directed shall not
5 produce the Confidential information until the party seeking to maintain
6 confidentiality has had thirty (30) calendar days to obtain an appropriate order.

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8 **IT IS SO ORDERED.**

9 Dated: August 3, 2010

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14 Judge of the United States District Court
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EXHIBIT A**ACKNOWLEDGMENT OF PROTECTIVE ORDER AND
AGREEMENT TO BE BOUND**

I, _____, declare that:

My address is _____; my present occupation is

_____; and I am currently employed by

_____. I have been retained by _____

with respect to this litigation.

I have received a copy of the Protective Order in this action, and I have carefully read and understand its provisions. I acknowledge that I am one of the persons contemplated in Paragraph B.6 thereof as being able to be given access to Confidential Information.

I will comply with all of the provisions of the Protective Order. I will hold in confidence, will not disclose to anyone other than those persons specifically authorized by the Protective Order, and will not copy or use for purposes other than for this lawsuit any Confidential Information that I receive in this action, except to the extent that such Confidential Information is or becomes public domain information or otherwise is not deemed Confidential Information in accordance with the Protective Order.

I agree to subject myself personally to the jurisdiction of the United States District Court for the Central District of California for the purpose of proceedings relating to my performance under, in compliance with, or violation of this Protective Order.

I understand that disclosure of Confidential Information in violation of this Protective Order may constitute contempt of court.

I declare under penalty of perjury that the foregoing is true and correct.

Executed:

Print Name: